
ACTIVITIES REPORT - 2017

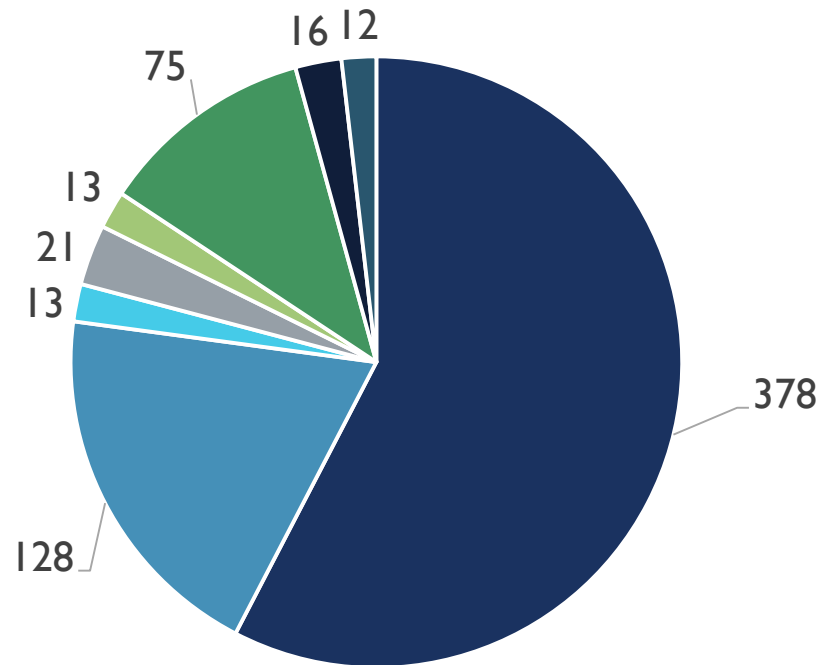
APRIL 2018



Concluded Proceedings

Total of Proceedings Concluded by CADE

Total: 656



■ Mergers

■ Complaints

■ Preparatory Procedure

■ Administrative Inquiry

■ Administrative Proceeding

■ Request

■ Motions for Clarification

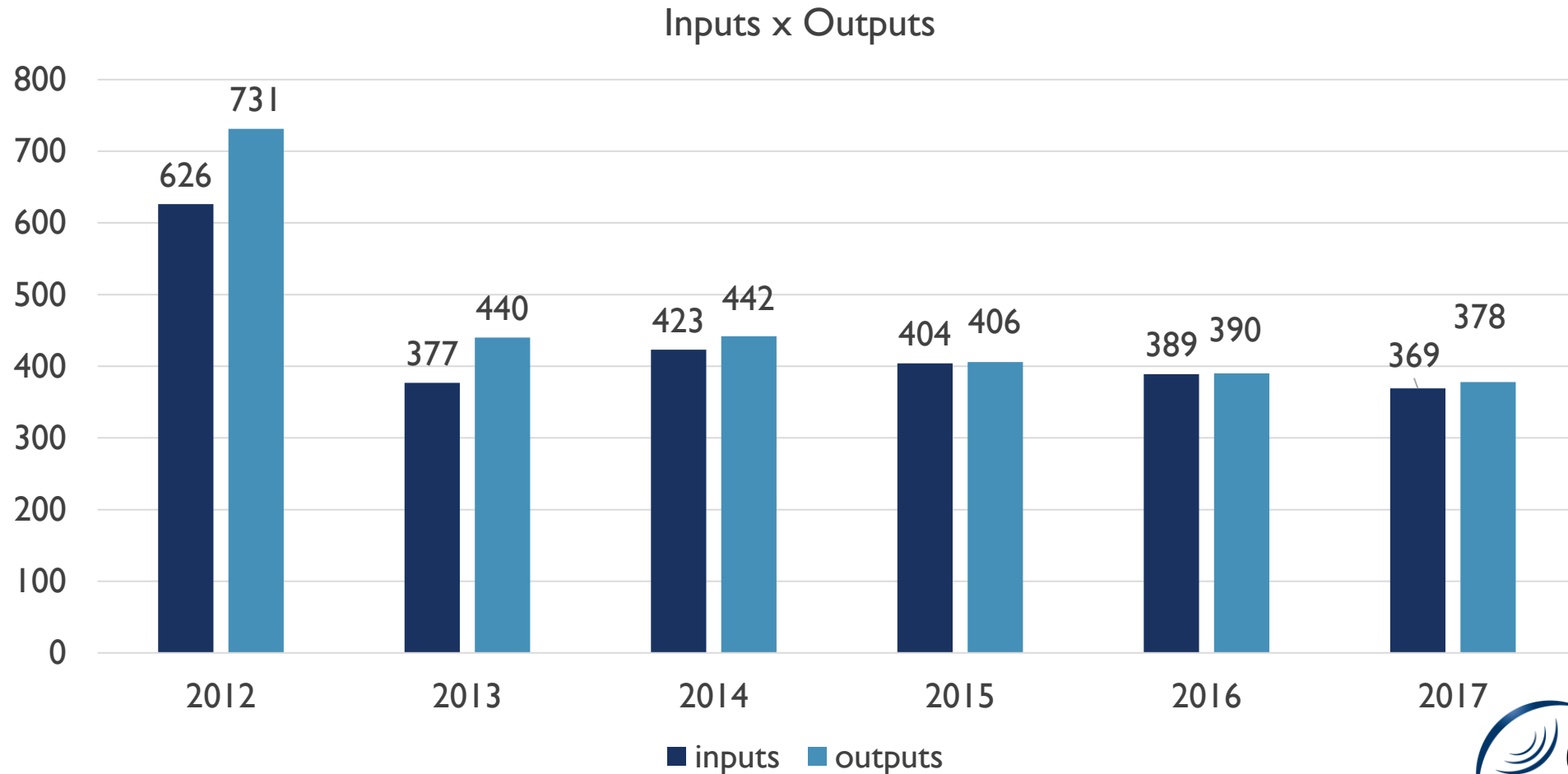
■ Others *



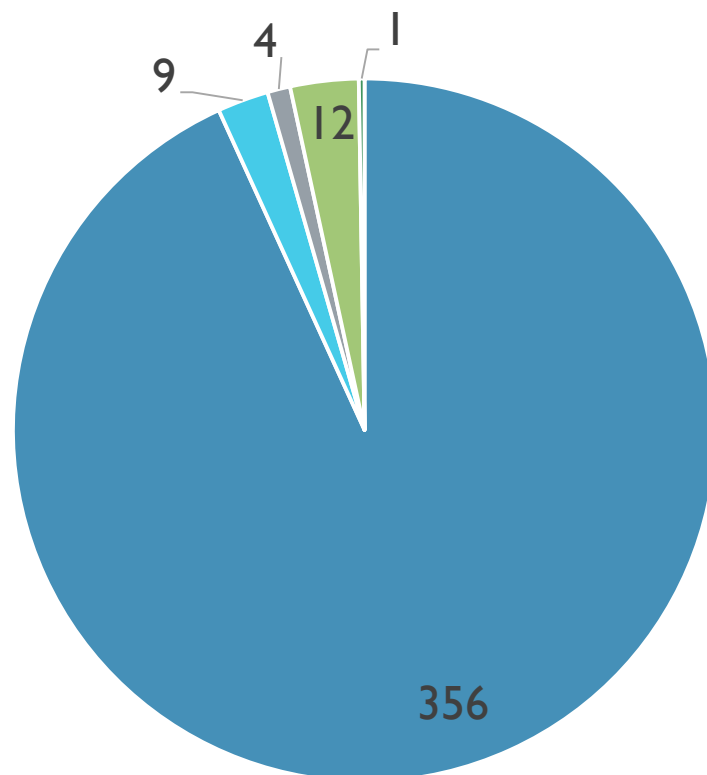
* Consultation, Leniency Agreements, Preliminary Authorization, Request for review, Administrative Procedure for Merger Assessment, Administrative Proceeding for the Imposition of Incidental Procedure Sanctions

MERGERS

Mergers and acquisitions: inputs and outputs



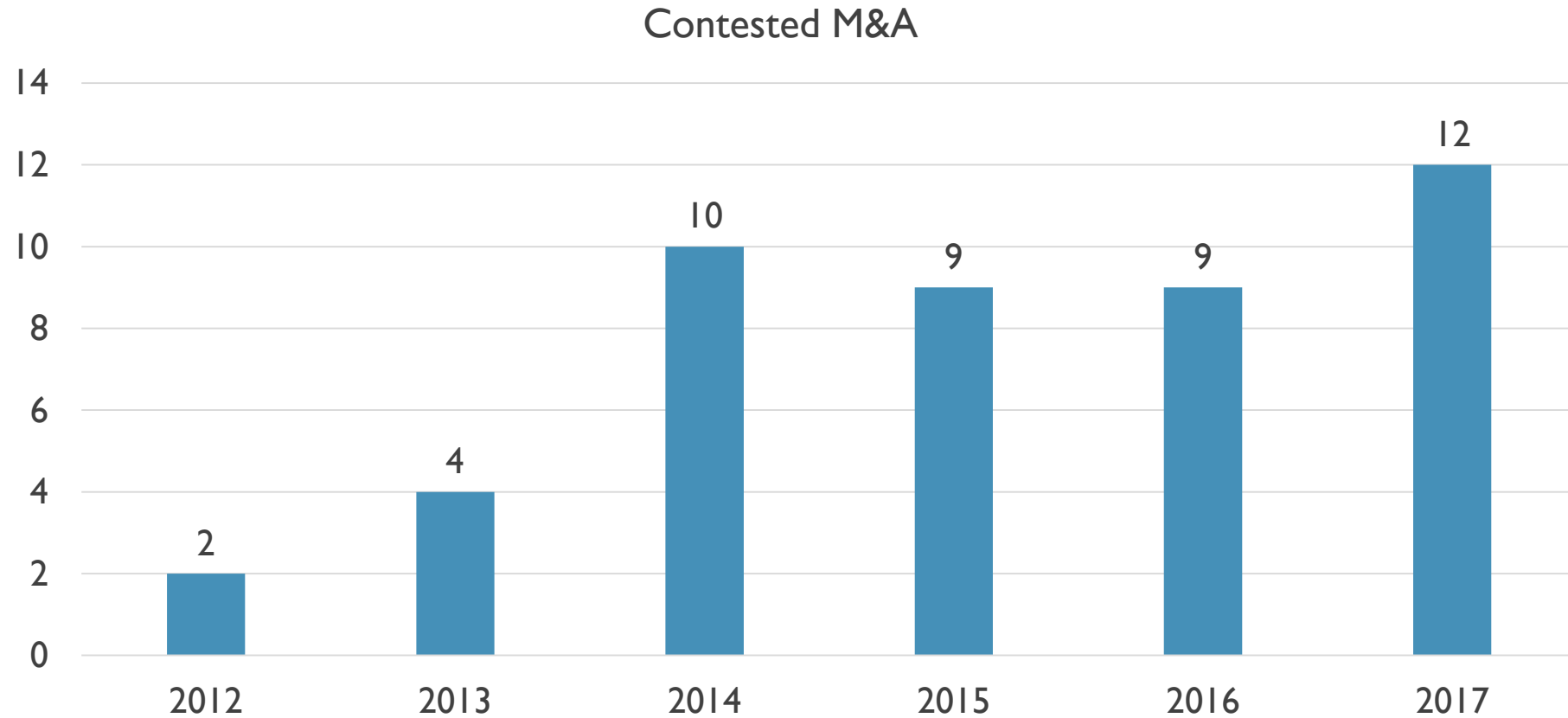
General Superintence's merger and acquisition proceedings per type of decision



Total: 382

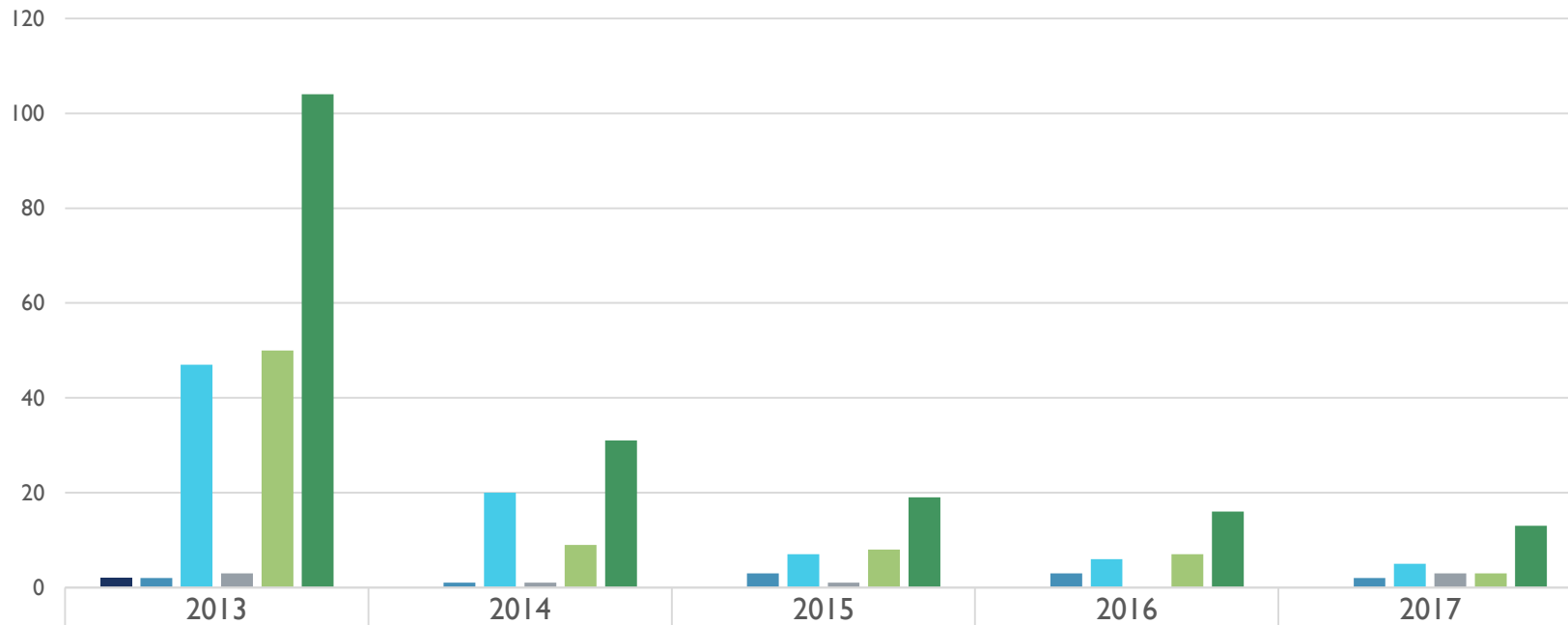
- Approved without restrictions
- Non-Admissible Files
- Out of Scope
- Tribunal's contested mergers and acquisitions
- Referral to Tribunal for Untimeliness

Contested Mergers and acquisitions (2nd phase)



M&A judged by the Tribunal

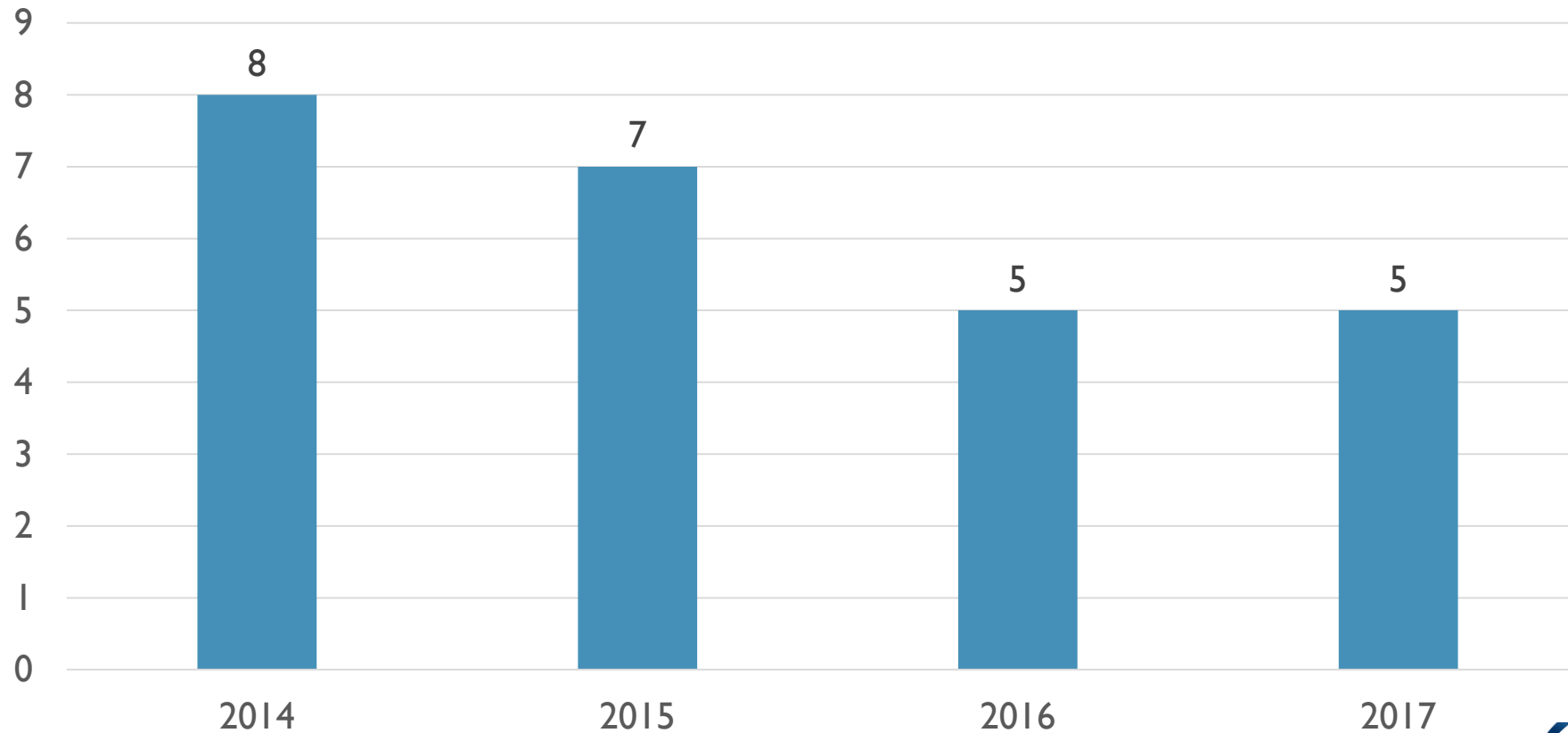
M&A Judged by the Tribunal per Type of Decision



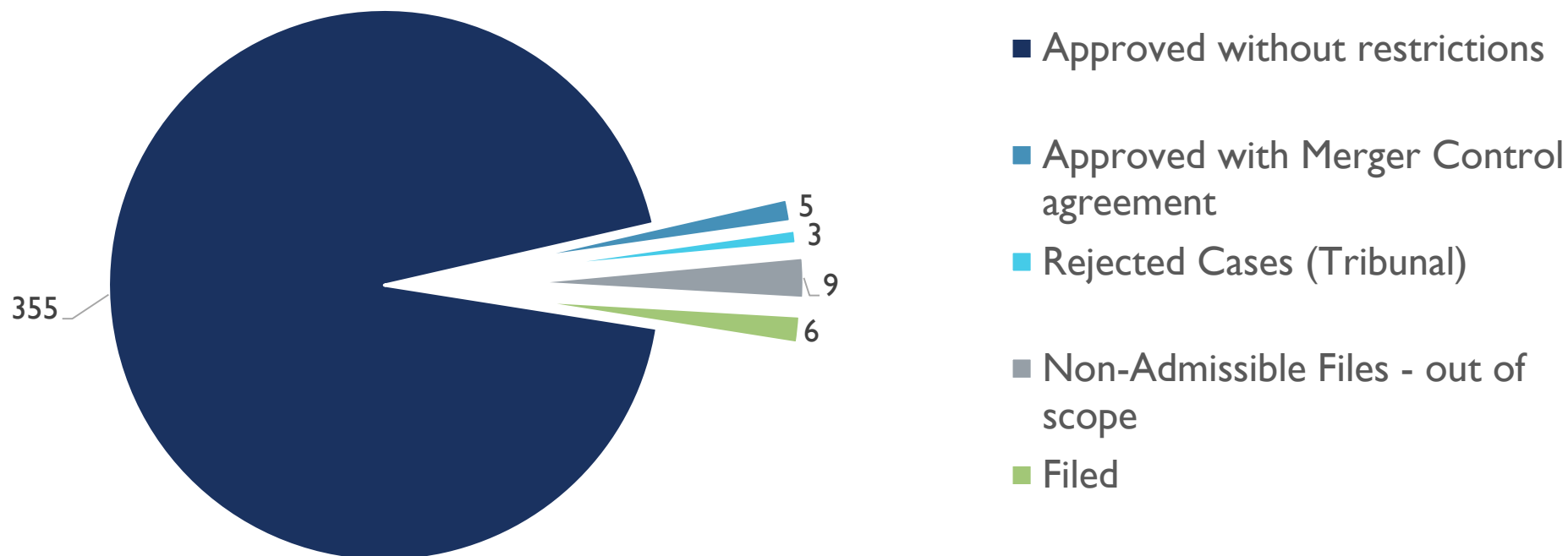
	2013	2014	2015	2016	2017
■ Non-Admissible files	2	0	0	0	0
■ Out of Scope	2	1	3	3	2
■ Approved with restrictions	47	20	7	6	5
■ Rejected cases	3	1	1	0	3
■ Approved without restrictions	50	9	8	7	3
■ Total	104	31	19	16	13

M&A with Merger Control Agreement (ACC)

Concluded Merger Control Agreements (ACC)

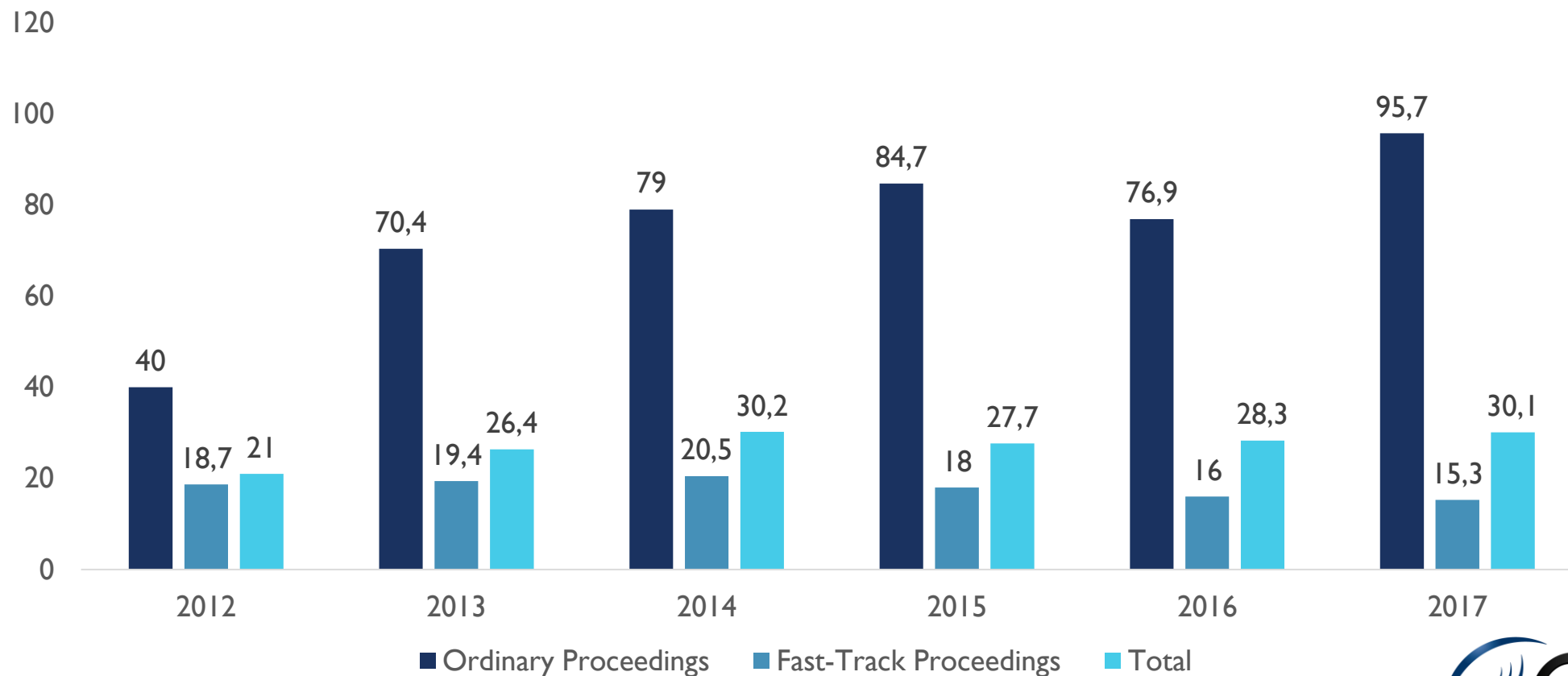


Judged M&A proceedings per type of decision (both Laws 12,529/11 and 8,884/94)



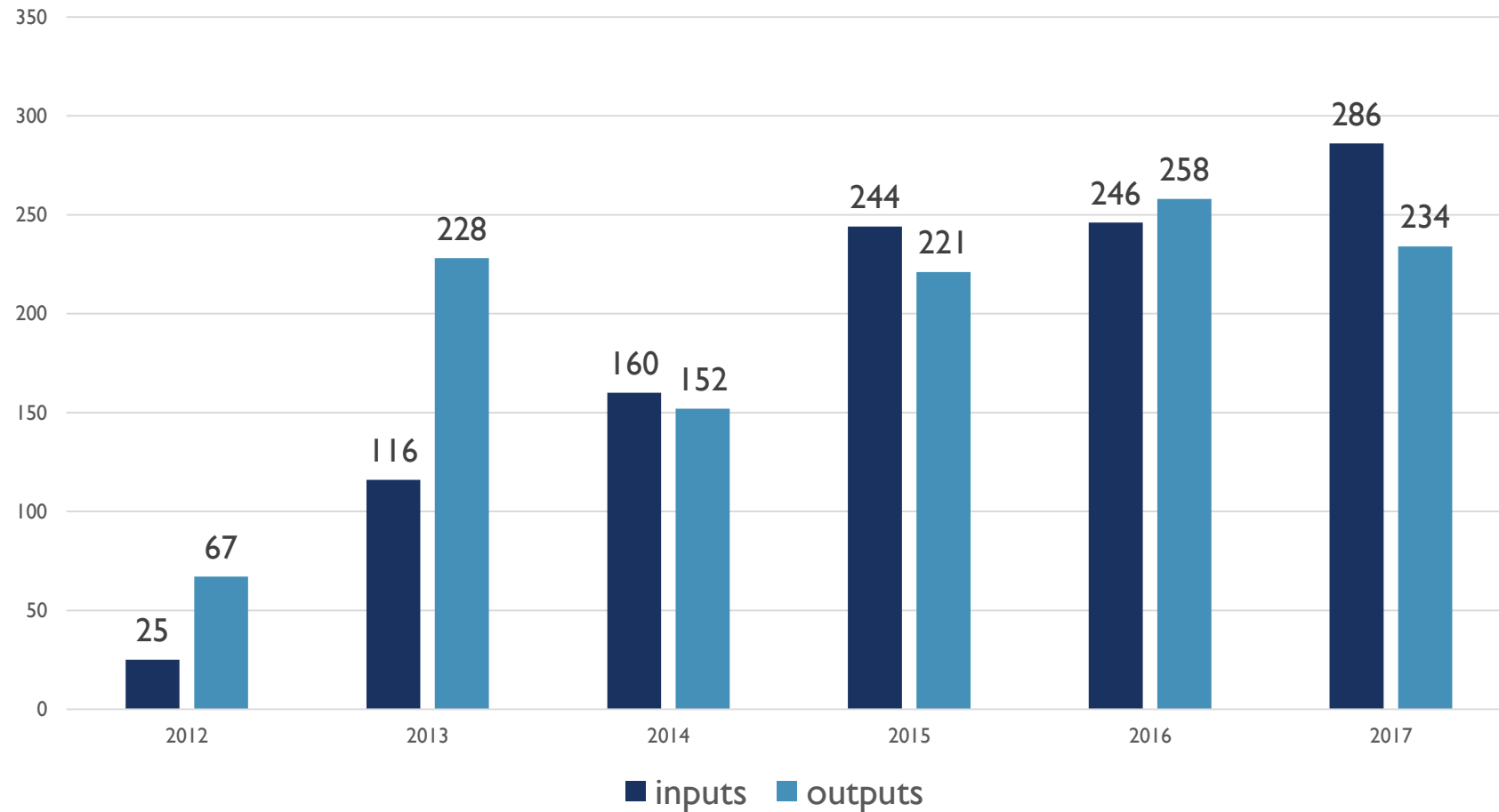
Average time of M&A's assessment (General Superintendence + Tribunal)

Average time of M&A's assessment (days)

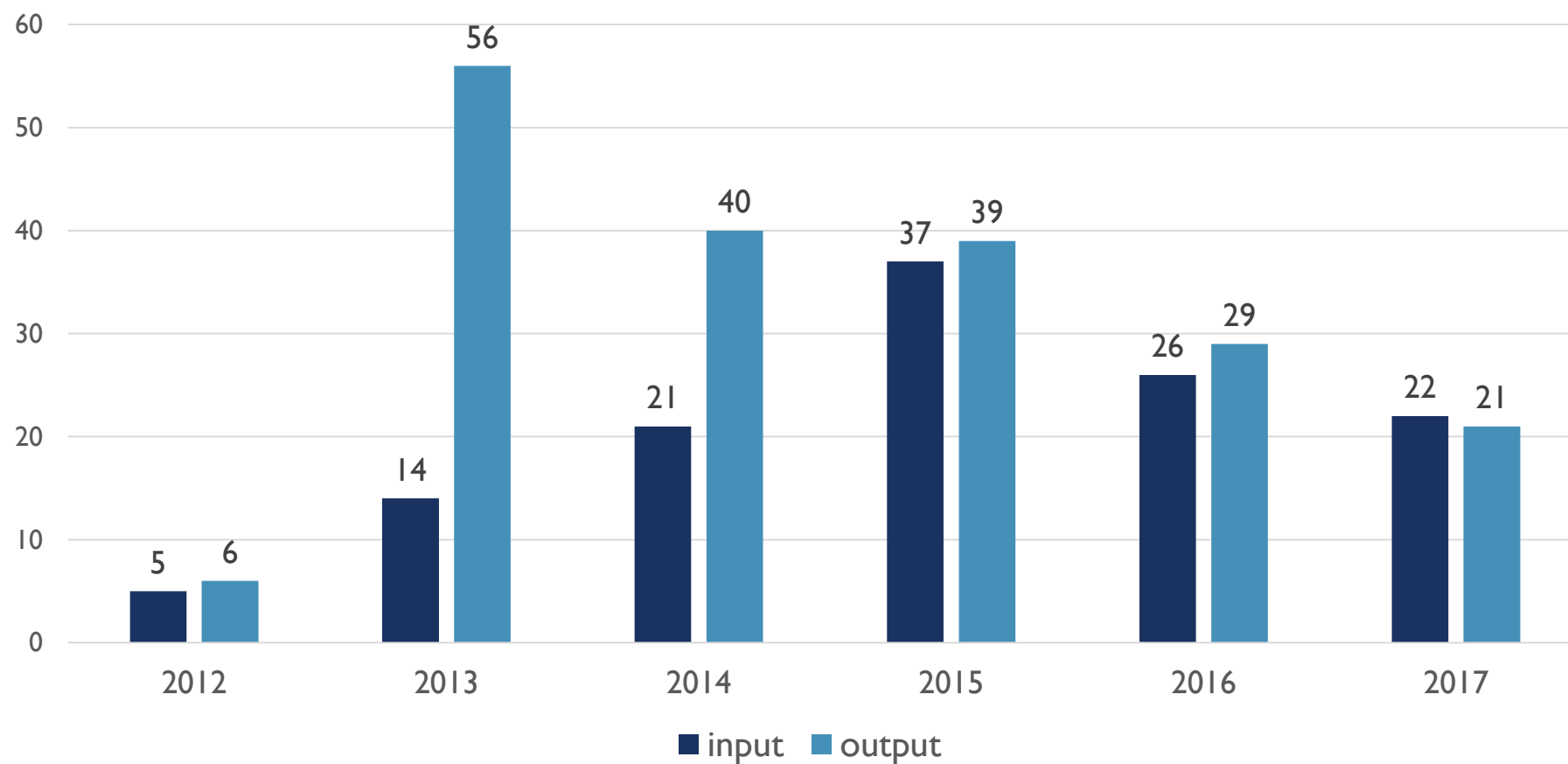


ANTICOMPETITIVE CONDUCTS

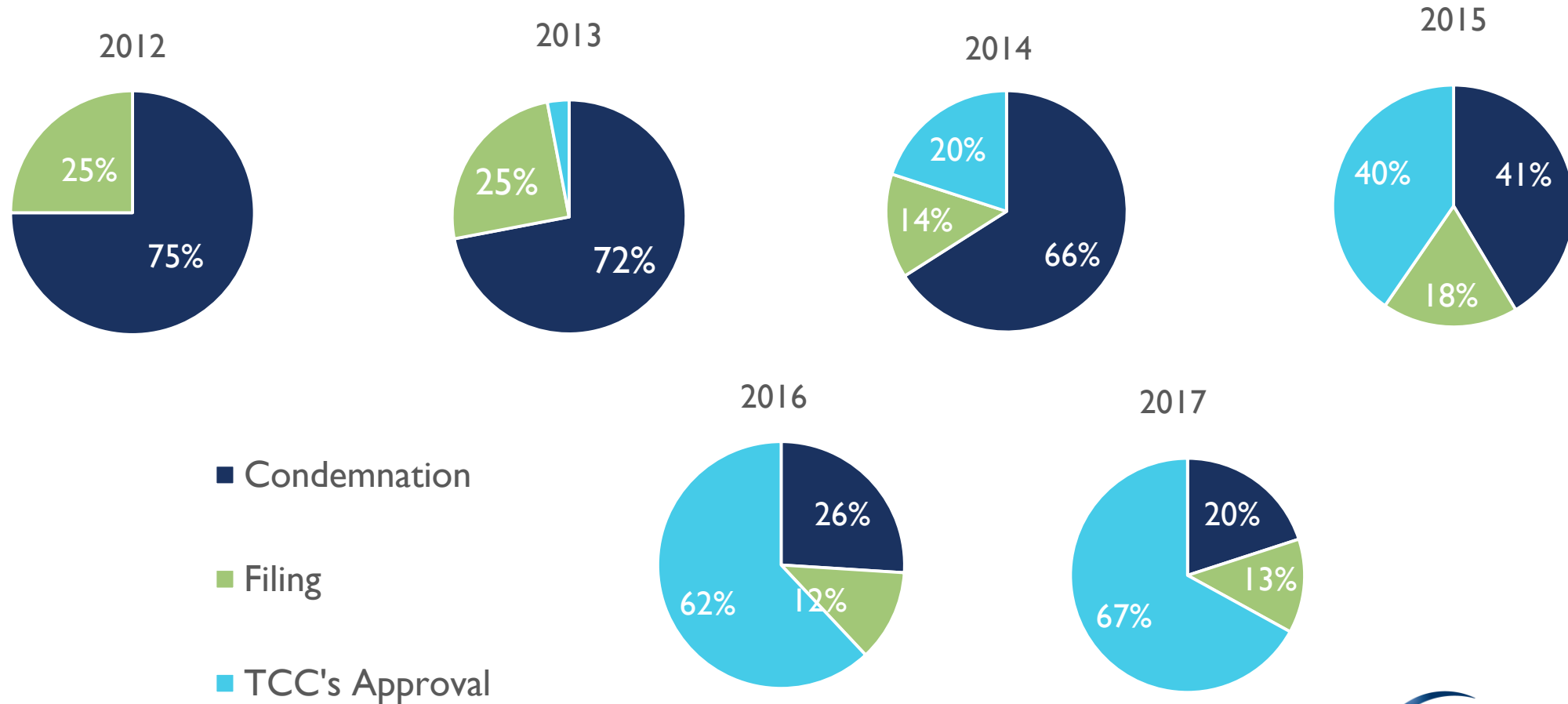
Proceedings the General Superintendence – inputs x outputs



Administrative Proceedings (PAs) – General Superintendence

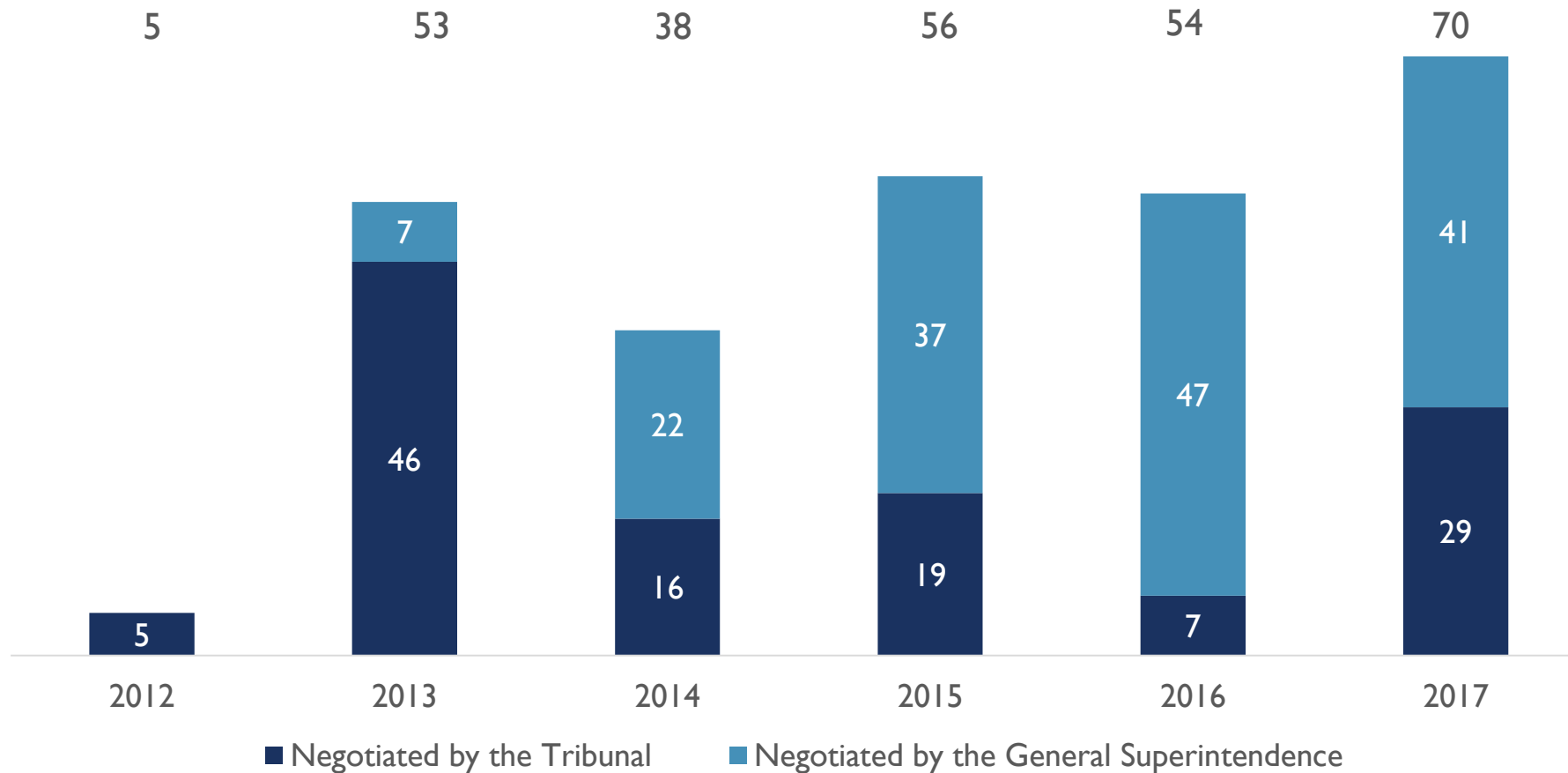


General Superintendence opinions issued related to Cease and Desist Agreements (TCCs) and Administrative Proceedings (PAs)

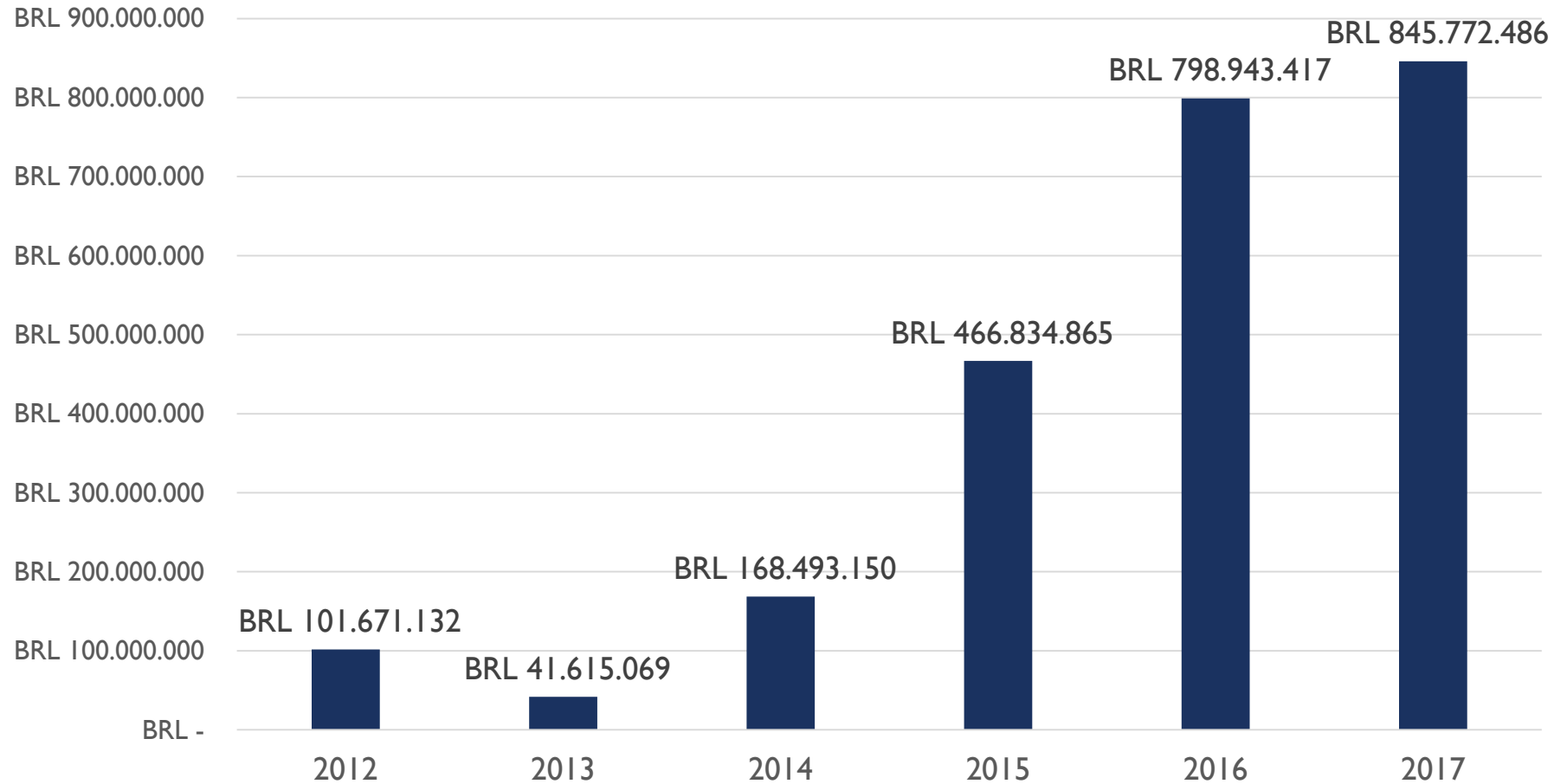


Cease and Desist Agreements (TCC)

Approval of Requested TCCs

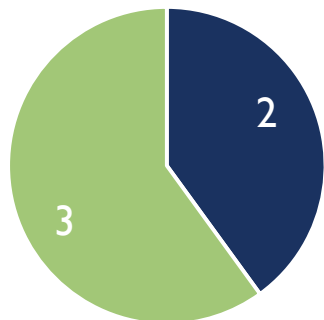


Pecuniary Contributions resulted from Cease and Desist Agreements (TCC)

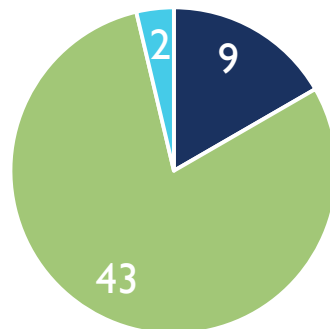


Judged Cease and Desist Agreements per type of Conduct

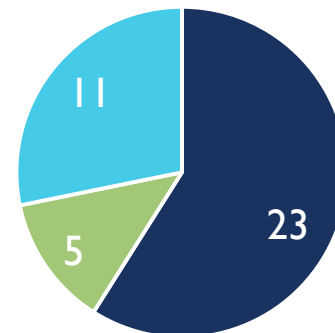
2012



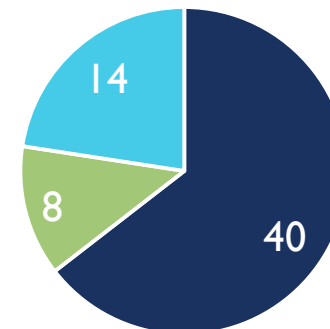
2013



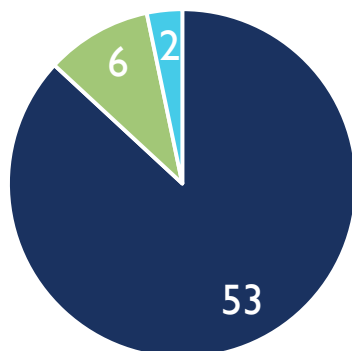
2014



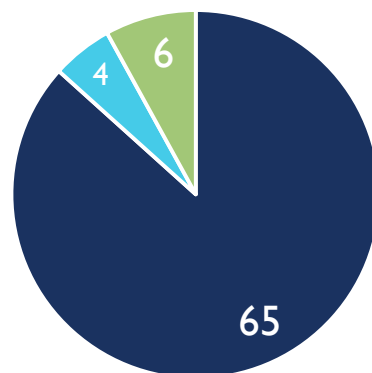
2015



2016

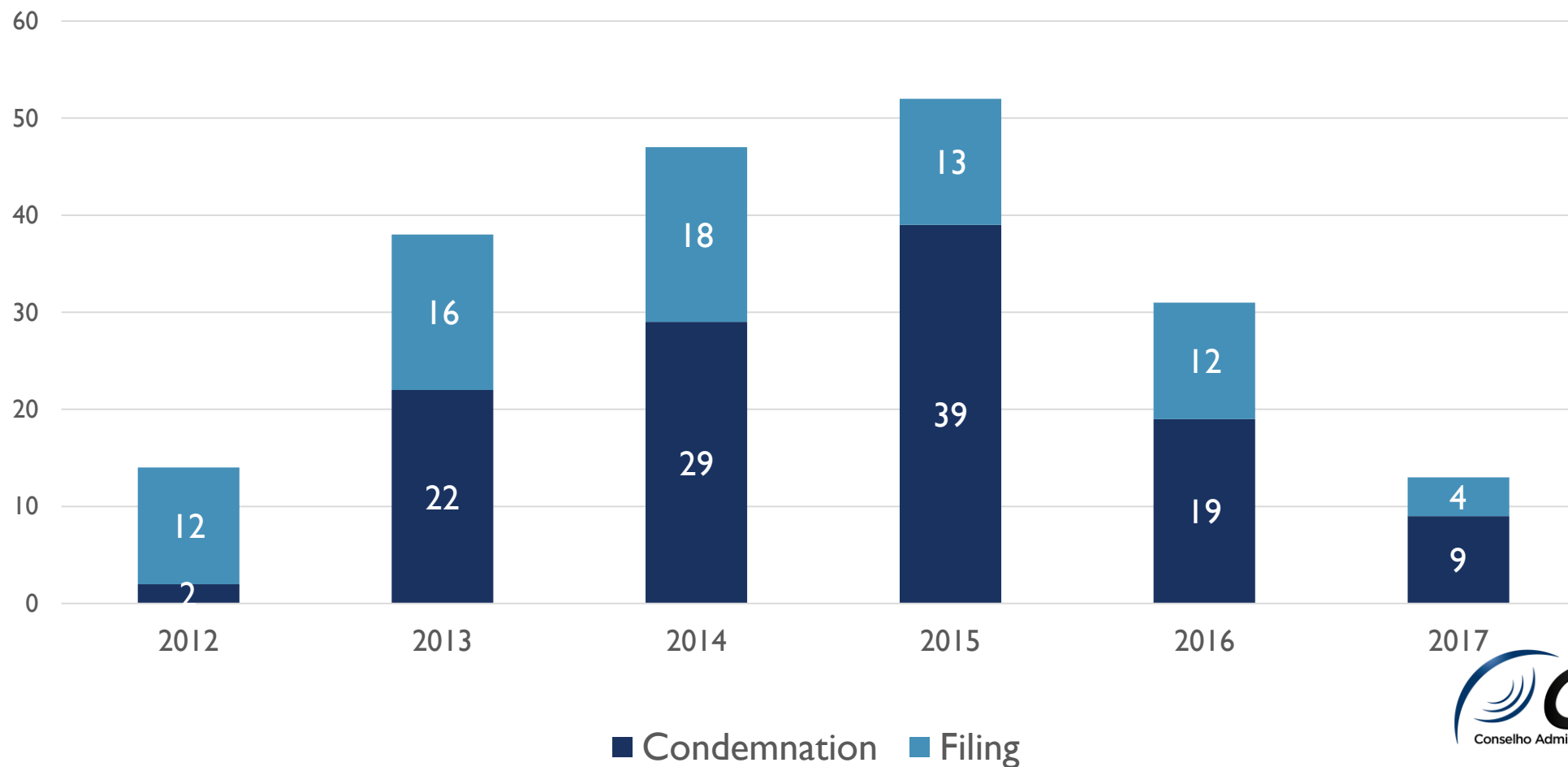


2017

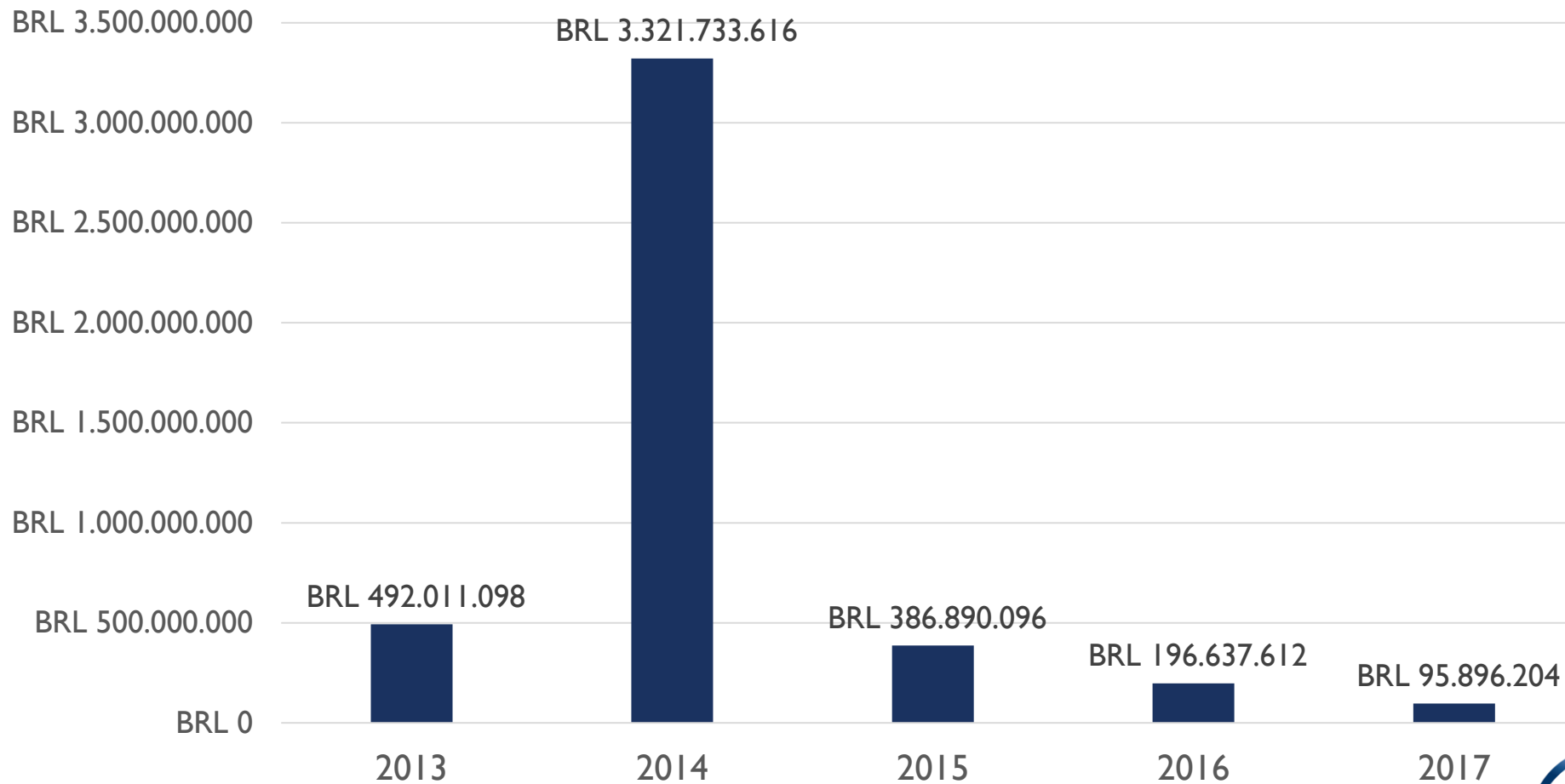


- Cartel
- Unilateral Conduct
- Uniform Commercial Conduct

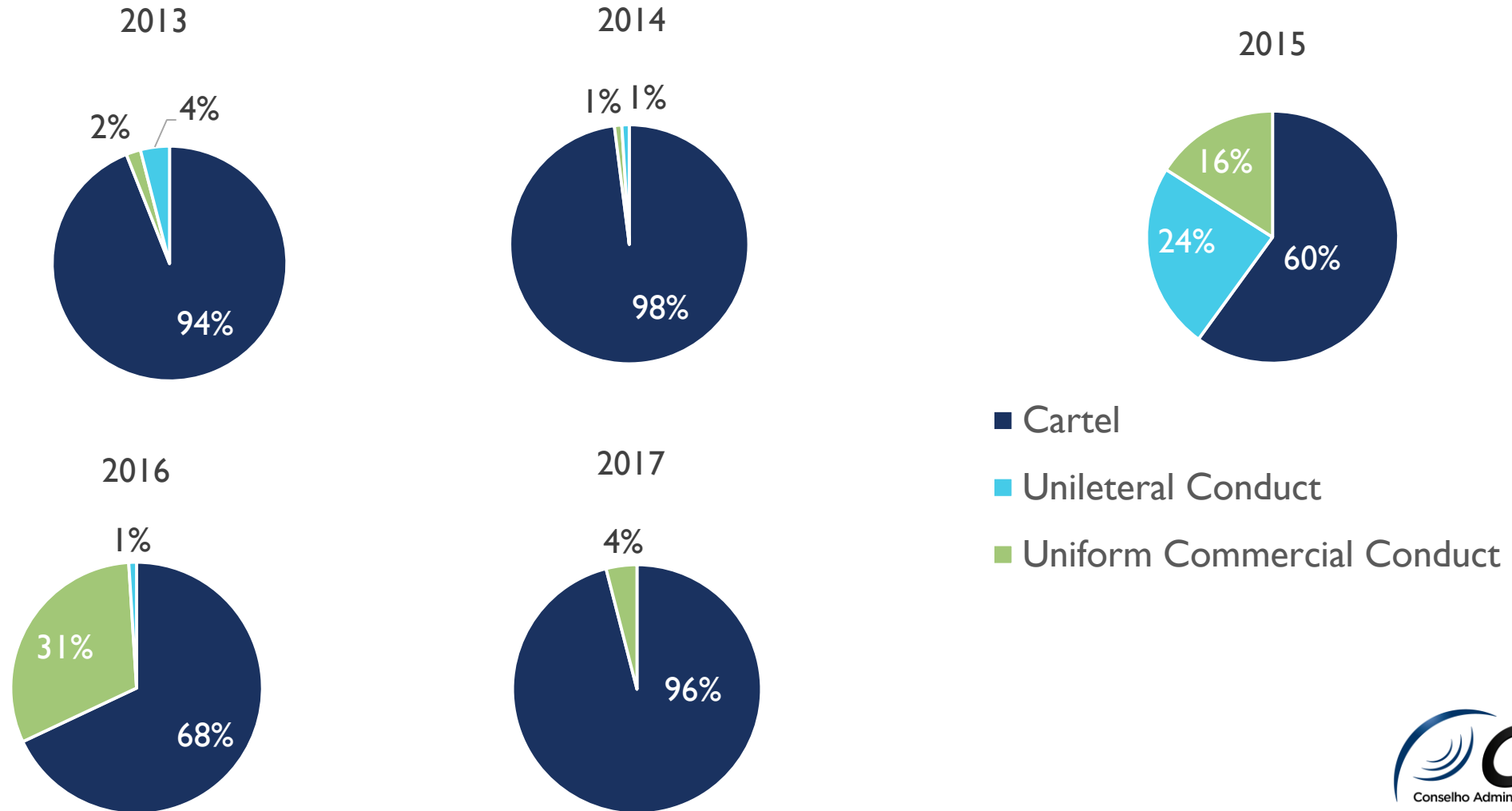
Judged Administrative Proceedings (Tribunal)



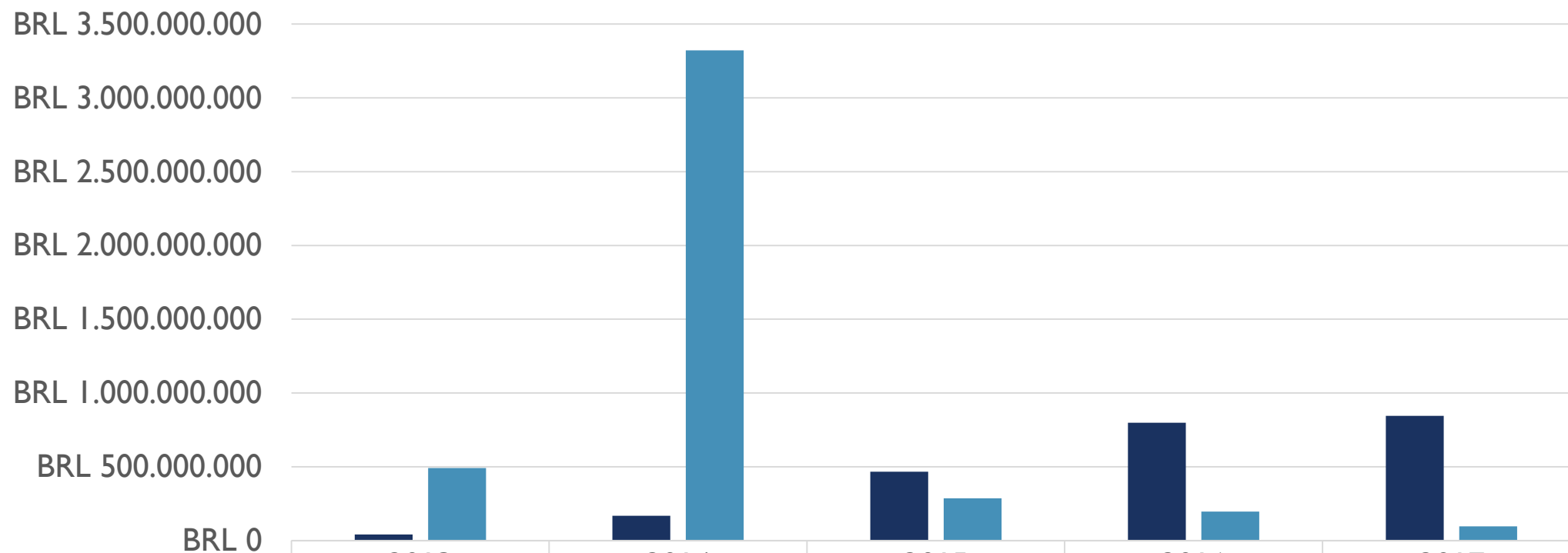
Imposed Fines in Administrative Proceedings (Pas)



Percentage of imposed fines per type of conduct in Administrative Proceedings



Imposed Fines and Pecuniary Contributions



■ Pecuniary contributions in TCCs

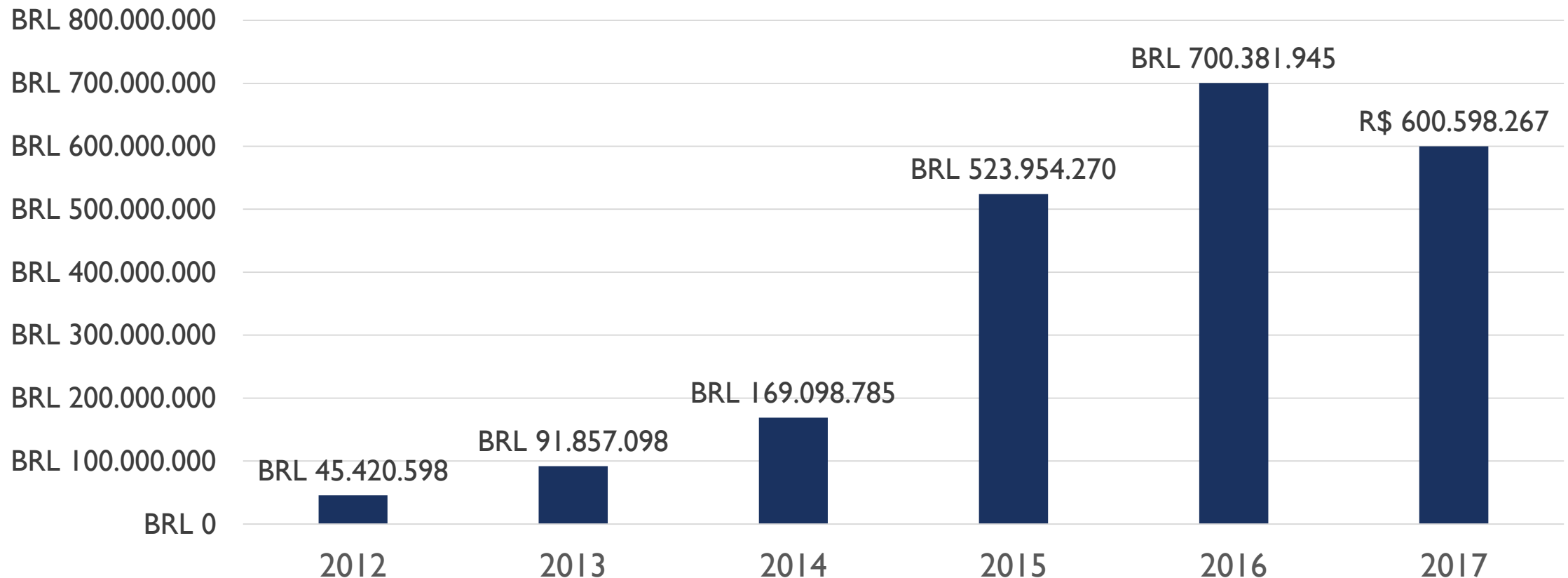
■ Imposed fines in Administrative Proceeding

	2013	2014	2015	2016	2017
Pecuniary contributions in TCCs	BRL 41.615.069	BRL 168.493.150	BRL 466.834.865	BRL 798.943.417	BRL 845.772.486
Imposed fines in Administrative Proceeding	BRL 492.011.098	BRL 3.321.733.615	BRL 286.890.096	BRL 196.637.612	BRL 95.896.204

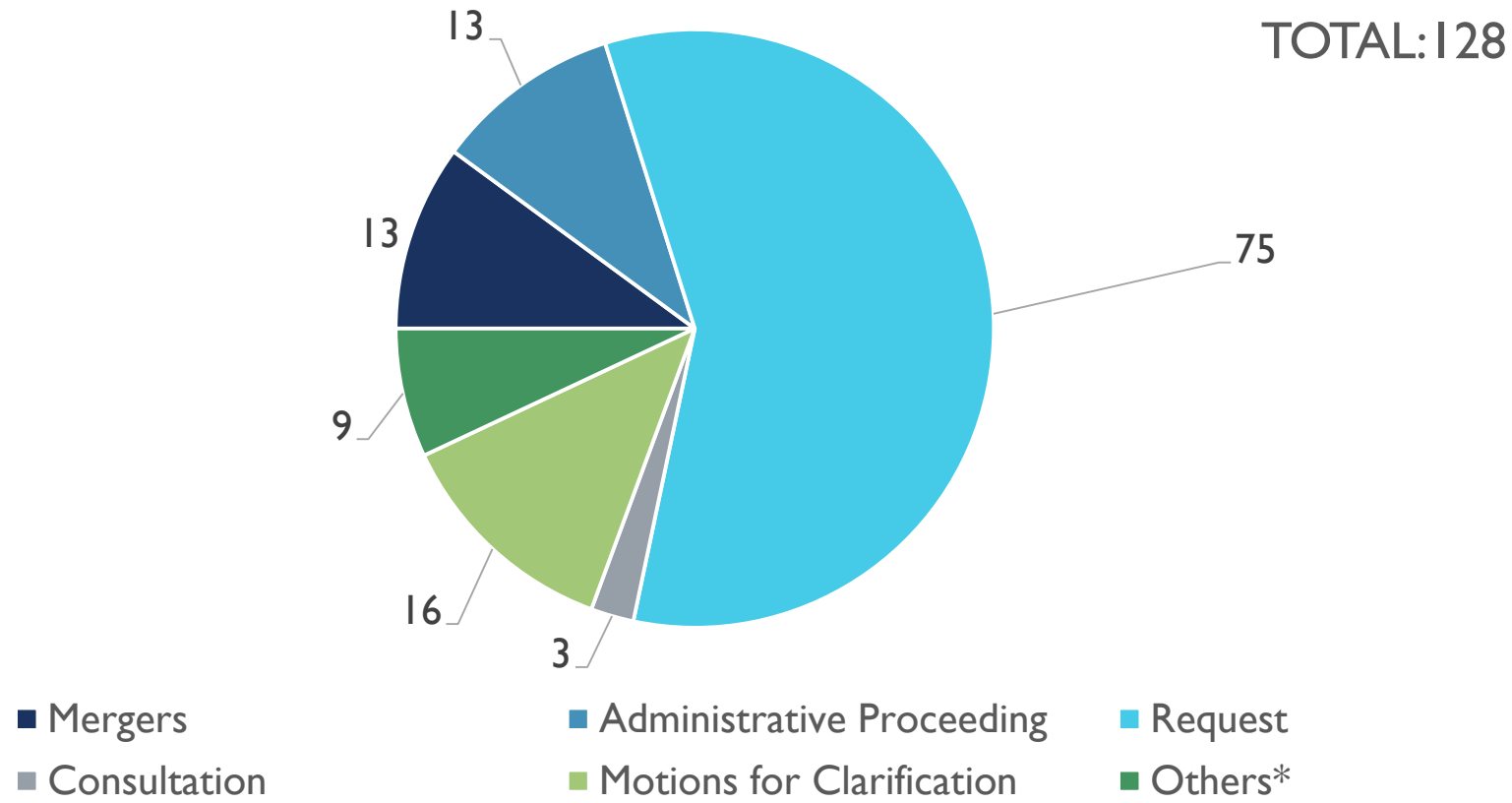
* Pecuniary Contributions from the approval of TCC requests are not included

** The total amount of the fines imposed in Administrative Proceedings are subject to change due to funds

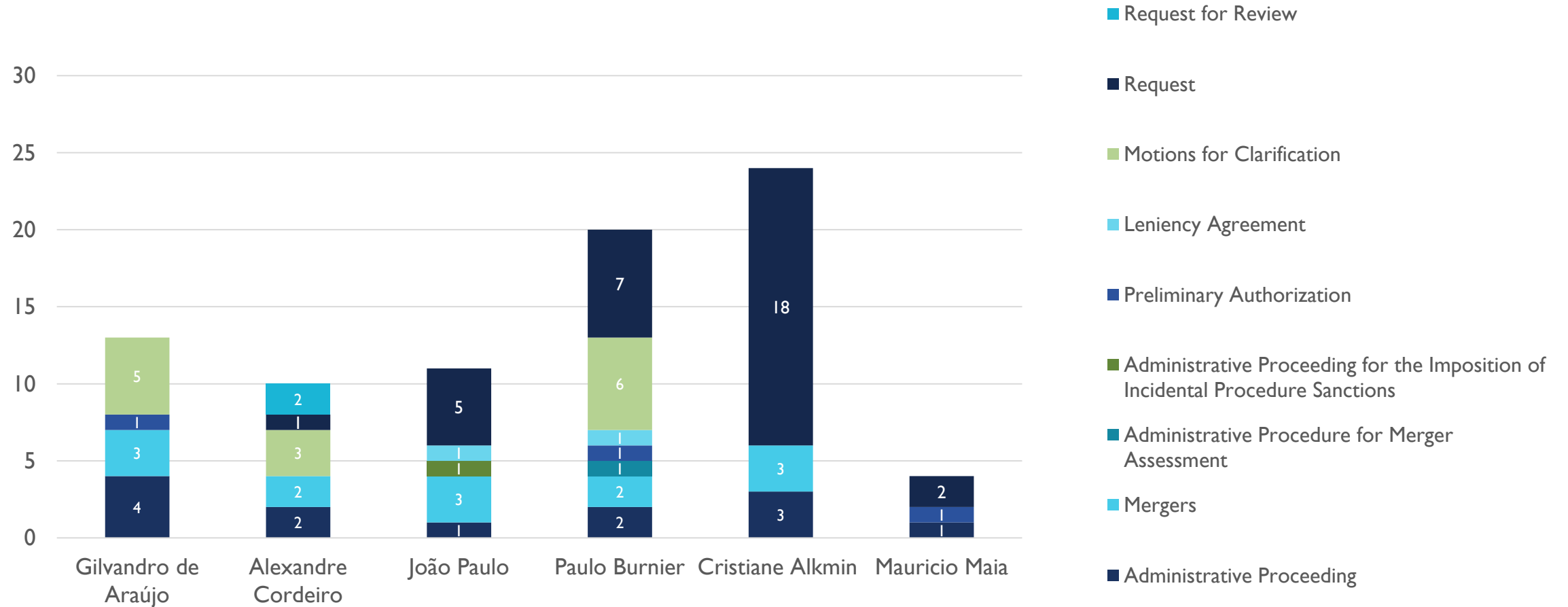
Collection to the Fund for the Defense of Diffuse Rights (FDD)



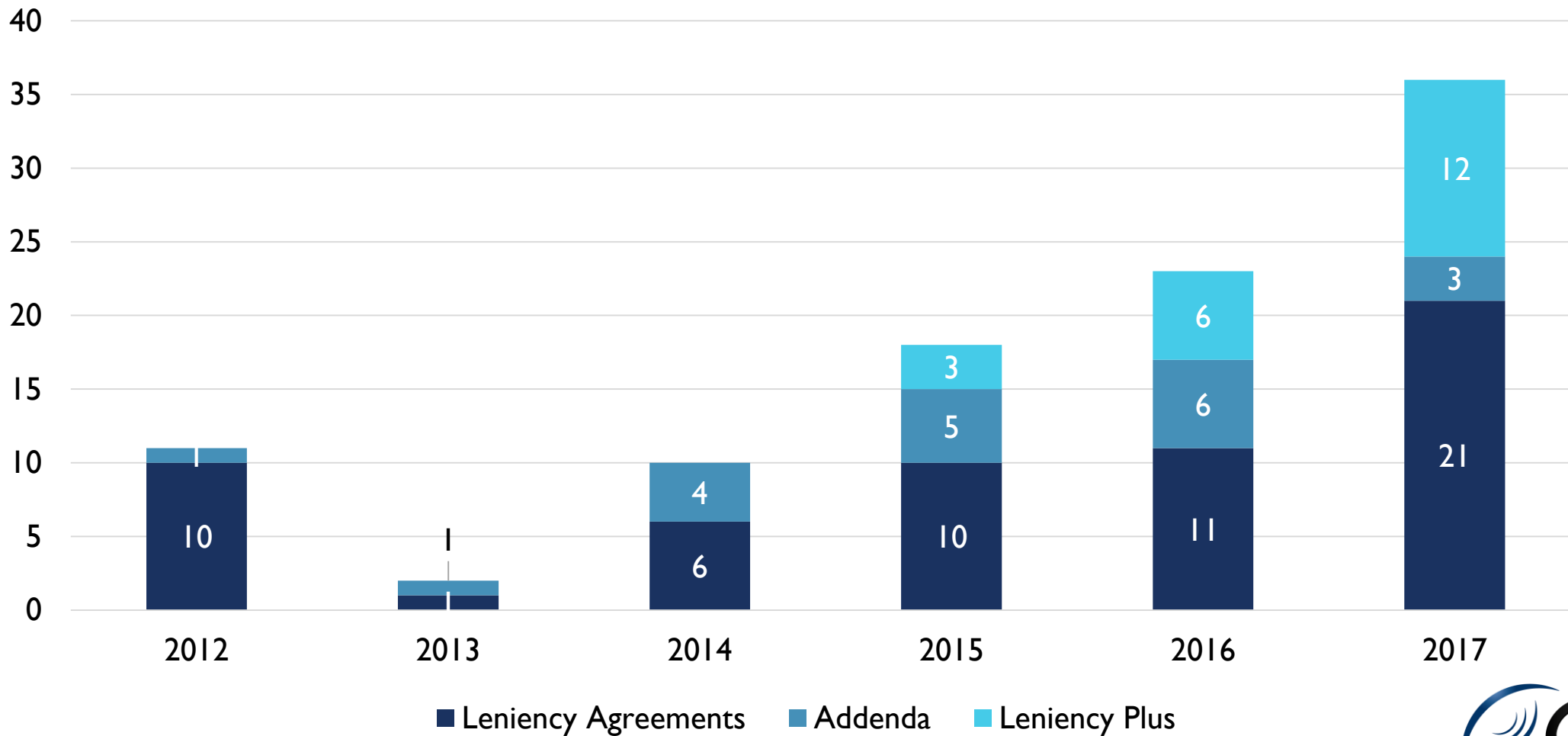
Proceedings judged by the Tribunal



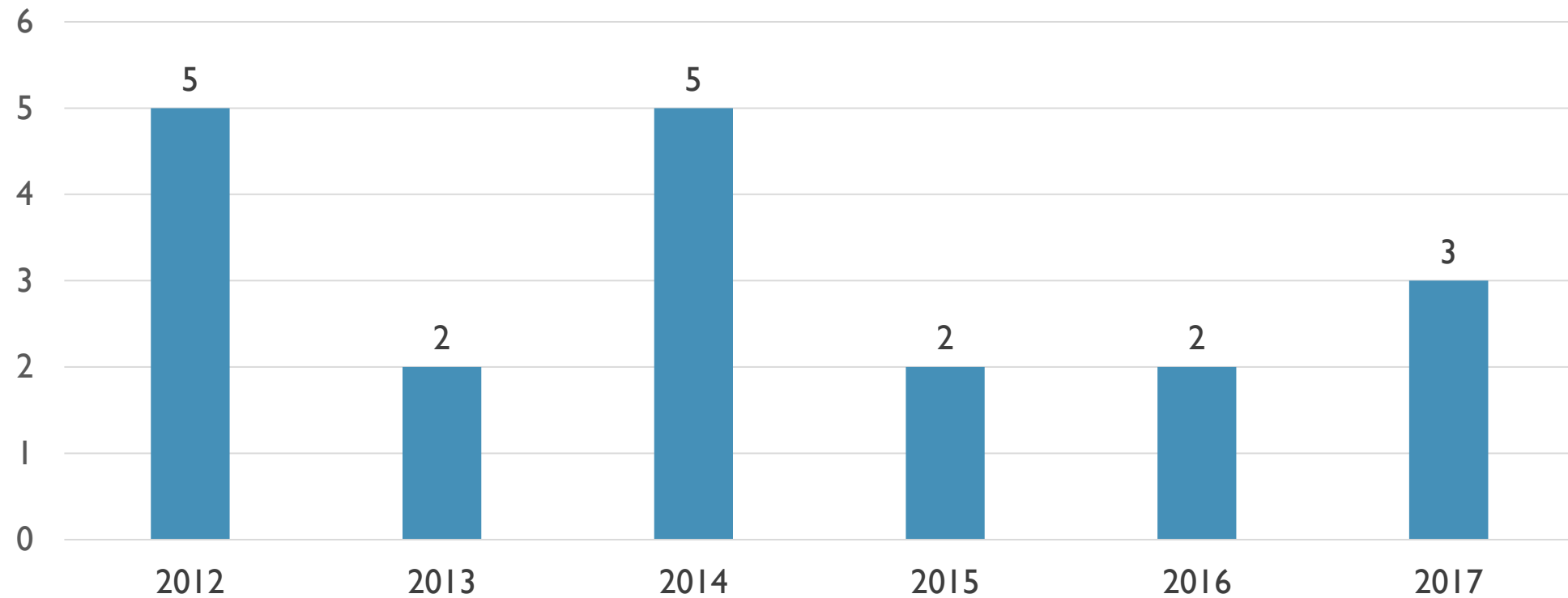
Proceedings Judged by the Tribunal in 2017 per commissioner



Leniency Agreements



Dawn Raids Proceedings per year



Working Groups

- Cade/Central Bank
- Cade/Federal Court of Accounts/Office of the General Comptroller/Attorney General's Office of the Union/Federal Prosecution Service
- Cade/Secretariat for Economic Monitoring

Awards

- Agency of The Year in the Americas, by Global Competition Review (GCR);
- One of the best antitrust authorities in the world, according to GCR, for the fifth consecutive year;
- Prize of distinction in the 21st Contest of *Inovation in the Public Sector*, promoted by the National School of Public Administration (ENAP), for the initiative *Electronic Petition and Active Transparency: inovation in public services*.
- Honored by the Brazilian Intelligence Agency (ABIN) in a celebration ceremony marking 20 years of the *National Programme in Defense of Sensitive Knowledge* (PNDC).

THE END

